



## NCCS Principal Investigator's Agreement

Version 2.1

07/19/07

### INSTRUCTIONS

The National Center for Computational Sciences (NCCS) must have a signed copy of this form on file from the project's principal investigator(s) before any accounts for the project will be processed. Please submit the completed form to the NCCS at Oak Ridge National Laboratory by FAX at 865-241-4011. Direct any questions to [help@nccs.gov](mailto:help@nccs.gov).

The use of the high-performance computers managed by the National Center for Computational Sciences (NCCS) at Oak Ridge National Laboratory (ORNL) is highly controlled and subject to export control restrictions. As principal investigator (PI) of the project, you agree to the following responsibilities relating to the control of these systems:

1. The PI agrees to submit an online application at the NCCS Web site providing a complete description of the research to be conducted on NCCS systems.
2. The project description will be reviewed for export control requirements. The Departments of State and Commerce are the primary sources for export control requirements. Specific regulatory references can be found at Export Administration Regulations Database and/or US State Department - Policy - Directorate of Defense Trade Controls. If the project is export controlled, the PI agrees to work with ORNL's Export Control Group and the NCCS to ensure compliance with all export control guidelines. The specific actions required for compliance will vary by project and will be provided to you if your project is deemed export controlled.
3. The PI agrees to ensure that all work performed on NCCS system is within the scope of the project as approved. The PI further agrees to report any changes in scope to the NCCS so that ORNL may reassess any export control concerns.
4. The PI is responsible for ensuring that all project members who will be accessing NCCS systems complete an online account application on the NCCS Web site. The application will be forwarded to the PI for authorization. Applicants who appear on a restricted foreign country listing in section 15 CFR 740.7 License Exceptions for Computers are denied access based on US Foreign Policy. The countries cited are Cuba, Iran, North Korea, Sudan, and Syria.
5. The PI agrees to report to the NCCS when a project member leaves the project in order to ensure the timely disabling of that member's accounts. Participants who have not accessed an NCCS computing resource in at least 6 months will be disabled and will need to reapply to regain access to their accounts. All participants must reapply annually.
6. The PI agrees to provide regular reports detailing the project's accomplishments. Quarterly reports are required for all projects. Additionally, at least one person from each project must respond to the annual user survey. Requirements surveys will be sent periodically. Also, the PI agrees to provide copies of publications resulting from work carried out using NCCS resources.
7. The PI agrees to ensure that all publication of research that involved the use of NCCS resources contains the following credit line: *This research used resources of the National Center for Computational Sciences at Oak Ridge National Laboratory, which is supported by the Office of Science of the Department of Energy under Contract DE-AC05-00OR22725.*
8. The PI will inform all project participants of the requirements stated in this agreement to assure compliance.



9. In the event of an audit by the NCCS or another government agency with authority, the PI agrees to make available requested files relevant to access and research being conducted on NCCS systems.

By executing this agreement, the PI certifies that he/she has reviewed the export control requirements in item 2, agrees to comply with all the requirements stated above, and agrees to timely notification of any violations to the ORNL Account Manager.

Please sign and fax the completed document to (865) 241-4011

Principal Investigator  
(Please Print): \_\_\_\_\_

Citizenship Status  
(US Citizen, LPR, Visa) \_\_\_\_\_

Countries of  
Citizenship: \_\_\_\_\_

Country of Birth: \_\_\_\_\_

Institution Represented: \_\_\_\_\_

Project Name: \_\_\_\_\_  
\_\_\_\_\_

Date: \_\_\_\_\_

Signature: \_\_\_\_\_